FORM X-17A-5

FOCUS REPORT

(Financial and Operational Combined Uniform Single Report)

Part II [11]

(Read instructions before preparing Form)

This report is being filed pursuant to (Check Applicable Block(s)):
1) Rule 17a-5(a) [X] [16] 2) Rule 17a-5(b) [ ] [17] 3) Rule 17a-11 [ ] [18]
4) Special request by designated examining authority [ ] [19] 5) Other [ ] [26]

NAME OF BROKER-DEALER CANTOR FITZGERALD & CO.	13	SEC FILE NO 201	14
ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.) 110 EAST 59TH STREET 4TH FLOOR (No. and Street)	20	FIRM ID NO 134	15
NEW YORK (City) [21] NY (State) [22] 10022 (Zip Code)	23	FOR PERIOD BEGINNING (MM/DD/YY) 10/01/2019	24
		AND ENDING (MM/DD/YY) 10/31/2019	25

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT Steve Bisgay	30	(Area Code)—Telephone No. (212) 294-7849	31

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT:		OFFICIAL USE	
	32		33
	34		35
	36		37
	38		39

DOES RESPONDENT CARRY ITS OWN CUSTOMER ACCOUNT? YES [X] [40] NO [ ] [41]

CHECK HERE IF RESPONDENT IS FILING AN AUDIT REPORT? [ ] [42]

EXECUTION:
The registrant/broker or dealer submitting this form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and

Dated 11/26/2019 Electronically submitted through WinJammer

Manual signatures of:

1) Steve Bisgay,
Principal Executive Officer of Managing Partner

2)
Principal Financial Officer or Partner

3)
Principal Operations Officer or Partner

Attention - Intentional misstatements or omissions of facts constitute Federal Criminal Violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78:f(a))

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

STATEMENT OF FINANCIAL CONDITION

As of (MMDDYY)		99
SEC FILE NO.	201	98
Consolidated		198
Unconsolidated	X	199

ASSETS

	Allowable		Non-Allowable		Total	
1. Cash	$ 91,776,799	200			$ 91,776,799	750
2. Cash segregated in compliance with federal and other regulations	140,077,421	210			140,077,421	760
3. Receivable from brokers or dealers and clearing organizations:						
A. Failed to deliver:						
1. Includable in "Formula for Reserve Requirements"	7,896,373	220				
2. Other	85,460,204	230			93,356,577	770
B. Securities borrowed:						
1. Includable in "Formula for Reserve Requirements"	415,195	240				
2. Other	2,309,003,439	250			2,309,418,634	780
C. Omnibus accounts:						
1. Includable in "Formula for Reserve Requirements"	0	260				
2. Other	0	270			0	790
D. Clearing organizations:						
1. Includable in "Formula for Reserve Requirements"	3,866,544	280				
2. Other	147,137,024	290			151,003,568	800
E. Other	1,069,857,308	300	$ 4,246,772	550	1,074,104,080	810
4. Receivables from customers:						
A. Securities accounts:						
1. Cash and fully secured accounts	139,517,166	310				
2. Partly secured accounts	1,075,140	320	7,500	560		
3. Unsecured accounts			4,842,350	570		
B. Commodity accounts	0	330	0	580		
C. Allowance for doubtful accounts	0	335	0	590	145,442,156	820
5. Receivables from non-customers:						
A. Cash and fully secured accounts	0	340				
B. Partly secured and unsecured accounts	0	350	1,139	600	1,139	830
6. Securities purchased under agreements to resell	18,789,068,980	360	0	605	18,789,068,980	840
7. Securities and spot commodities owned, at market value:						
A. Bankers acceptances, certificates of deposit and commercial paper	55,499,711	370				
B. U.S. and Canadian government obligations	4,941,897,161	380				
C. State and municipal government obligations	22,012,439	390				
D. Corporate obligations	563,242,334	400				

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

STATEMENT OF FINANCIAL CONDITION

ASSETS

	Allowable		Non-Allowable		Total	
E. Stock and warrants	$ 94,020,956	410				
F. Options	3,977,951	420				
G. Arbitrage	0	422				
H. Other securities	64,000,000	424				
I. Spot commodities	0	430				
J. Total Inventory - includes encumbered securities of $0 [120]					$ 5,744,650,552	850
8. Securities owned not readily marketable:						
A. At Cost $0 [130]	0	440	$ 54,382,357	610	54,382,357	860
9. Other investments not readily marketable:						
A. At Cost $0 [140]						
B. At estimated fair value	0	450	5,000,000	620	5,000,000	870
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:						
A. Exempted securities $0 [150]						
B. Other $0 [160]	0	460	0	630	0	880
11. Secured demand notes - market value of collateral:						
A. Exempted securities $0 [170]						
B. Other $0 [180]	0	470	0	640	0	890
12. Memberships in exchanges:						
A. Owned, at market value $0 [190]						
B. Owned at cost			0	650		
C. Contributed for use of company, at market value			0	660	0	900
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships	0	480	4,375,994	670	4,375,994	910
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements: At cost (net of accumulated depreciation and amortization)	19,726,250	490	5,331,393	680	25,057,643	920
15. Other Assets:						
A. Dividends and interest receivable	10,066,287	500	65,978	690		
B. Free shipments	0	510	0	700		
C. Loans and advances	0	520	316,786	710		
D. Miscellaneous	62,315,439	530	40,000,584	720		
E. Collateral accepted under SFAS 140	0	536				
F. SPE Assets	0	537			112,765,074	930
16. TOTAL ASSETS	$ 28,621,910,121	540	$ 118,570,853	740	$ 28,740,480,974	940

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY

Liabilities	A.I. Liabilities *		Non-A.I. Liabilities *		Total	
17. Bank loans payable:						
A. Includable in "Formula for Reserve Requirements"	$ 0	1030	$ 0	1240	$ 0	1460
B. Other	0	1040	0	1250	0	1470
18. Securities sold under repurchase agreements			0	1260	24,045,279,720	1480
19. Payable to brokers or dealers and clearing organizations:						
A. Failed to receive:						
1. Includable in "Formula for Reserve Requirements"	0	1050	0	1270	46,621,832	1490
2. Other	0	1060	0	1280	106,894,863	1500
B. Securities loaned:						
1. Includable in "Formula for Reserve Requirements"	0	1070			110,015	1510
2. Other	0	1080	0	1290	1,158,978,191	1520
C. Omnibus accounts:						
1. Includable in "Formula for Reserve Requirements"	0	1090			0	1530
2. Other	0	1095	0	1300	0	1540
D. Clearing organizations:						
1. Includable in "Formula for Reserve Requirements"	0	1100			4,893,989	1550
2. Other	0	1105	0	1310	50,266,614	1560
E. Other	0	1110	0	1320	27,488,811	1570
20. Payable to customers:						
A. Securities accounts - including free credits of $84,465,489 [950]	0	1120			128,307,731	1580
B. Commodities accounts	0	1130	0	1330	0	1590
21. Payable to non customers:						
A. Securities accounts	0	1140	0	1340	317,485	1600
B. Commodities accounts	0	1150	0	1350	8,194,816	1610
22. Securities sold not yet purchased at market value - including arbitrage of $0 [960]			0	1360	2,356,005,224	1620
23. Accounts payable and accrued liabilities and expenses:						
A. Drafts payable	0	1160			246,889	1630
B. Accounts payable	0	1170			918,142	1640
C. Income taxes payable	0	1180			0	1650
D. Deferred income taxes			0	1370	0	1660
E. Accrued expenses and other liabilities	0	1190			112,249,668	1670
F. Other	0	1200	0	1380	7,329,115	1680
G. Obligation to return securities			0	1386	0	1686
H. SPE Liabilities			0	1387	0	1687

* Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY (continued)

Liabilities	A.I. Liabilities *		Non A.I. Liabilities *		Total	
24. Notes and mortgages payable:						
A. Unsecured	$ 0	1210			$ 0	1690
B. Secured	0	1211	$ 0	1390	0	1700
25. Liabilities subordinated to claims of general creditors:						
A. Cash borrowings			0	1400	205,000,000	1710
1. from outsiders $0 [970]						
2. Includes equity subordination(15c3-1(d)) of $205,000,000 [980]						
B. Securities borrowings, at market value			0	1410	0	1720
1. from outsiders $0 [990]						
C. Pursuant to secured demand note collateral agreements			0	1420	0	1730
1. from outsiders $0 [1000]						
2. Includes equity subordination(15c3-1(d)) of $0 [1010]						
D. Exchange memberships contributed for use of company, at market value			0	1430	0	1740
E. Accounts and other borrowings not qualified for net capital purposes	0	1220	0	1440	0	1750
26. TOTAL LIABILITIES	$ 0	1230	$ 0	1450	$ 28,259,103,105	1760

Ownership Equity				
27. Sole proprietorship			$ 0	1770
28. Partnership- limited partners	$ 0	1020	$ 481,377,869	1780
29. Corporation:				
A. Preferred stock			0	1791
B. Common stock			0	1792
C. Additional paid-in capital			0	1793
D. Retained earnings			0	1794
E. Accumulated other comprehensive income			0	1797
F. Total			0	1795
G. Less capital stock in treasury			0	1796
30. TOTAL OWNERSHIP EQUITY			$ 481,377,869	1800
31. TOTAL LIABILITIES AND OWNERSHIP EQUITY			$ 28,740,480,974	1810

* Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER:	CANTOR FITZGERALD & CO.	as of: 10/31/2019

COMPUTATION OF NET CAPITAL

1. Total ownership equity (from Statement of Financial Condition - Item 1800)					$ 481,377,869	3480
2. Deduct: Ownership equity not allowable for net capital					0	3490
3. Total ownership equity qualified for net capital					481,377,869	3500
4. Add:						
A. Liabilities subordinated to claims of general creditors allowable in computation of net capital					205,000,000	3520
B. Other (deductions) or allowable credits (List)					0	3525
5. Total capital and allowable subordinated liabilities					$ 686,377,869	3530
6. Deductions and/or charges:						
A. Total non-allowable assets from Statement of Financial Condition (Notes B and C)			$ 118,570,853	3540		
1. Additional charges for customers' and non-customers' security accounts			$ 0	3550		
2. Additional charges for customers' and non-customers' commodity accounts			0	3560		
B. Aged fail-to-deliver			1,810,296	3570		
1. Number of items	83	3450				
C. Aged short security differences-less reserve of	$ 0	3460	0	3580		
number of items	0	3470				
D. Secured demand note deficiency			0	3590		
E. Commodity futures contracts and spot commodities proprietary capital charges			13,005,880	3600		
F. Other deductions and/or charges			72,635,575	3610		
G. Deductions for accounts carried under Rule 15c3-1(a)(6),(a)(7) and (c)(2)(x)			0	3615		
H. Total deductions and/or charges					(206,022,604)	3620
7. Other additions and/or allowable credits (List)					0	3630
8. Net Capital before haircuts on securities positions					$ 480,355,265	3640
9. Haircuts on securities: (computed, where applicable, pursuant to 15c3-1(f)):						
A. Contractual securities commitments			$ 0	3660		
B. Subordinated securities borrowings			0	3670		
C. Trading and Investment securities:						
1. Bankers' acceptances, certificates of deposit and commercial paper			0	3680		
2. U.S. and Canadian government obligations			145,378,037	3690		
3. State and municipal government obligations			1,483,192	3700		
4. Corporate obligations			44,718,636	3710		
5. Stocks and warrants			16,576,382	3720		
6. Options			0	3730		
7. Arbitrage			0	3732		
8. Other securities			1,514,674	3734		
D. Undue concentration			0	3650		
E. Other (list)			0	3736	(209,670,921)	3740
10. Net Capital					$ 270,684,344	3750

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

COMPUTATION OF BASIC NET CAPITAL REQUIREMENT

Part A

11. Minimum net capital required (6-2/3% of line 19)			$ 0	3756
12. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note (A)			$ 0	3758
13. Net capital requirement (greater of line 11 or 12)			$ 0	3760
14. Excess net capital (line 10 less 13)			$ 0	3770
15. Excess net capital at 1000% (line 10 less 10% of line 19)			$ 0	3780

COMPUTATION OF AGGREGATE INDEBTEDNESS

16. Total A.I. liabilities from Statement of Financial Condition			$ 0	3790
17. Add:				
A. Drafts for immediate credit	$ 0	3800		
B. Market value of securities borrowed for which no equivalent value is paid or credited	$ 0	3810		
C. Other unrecorded amounts (List)	$ 0	3820	$ 0	3830
18. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (15c3-1(c)(1)(vii))			$ 0	3838
19. Total aggregate indebtedness			$ 0	3840
20. Percentage of aggregate indebtedness to net capital (line 19 divided by line 10)			0.00 %	3850
21. Percentage of aggregate indebtedness to net capital after anticipated capital withdrawals (line 19 divided by line 10 less item 4880 page 11)			0.00 %	3853

COMPUTATION OF ALTERNATIVE NET CAPITAL REQUIREMENT

Part B

22. 2% of combined aggregate debit items as shown in Formula for Reserve Requirements pursuant to Rule 15c3-3 prepared as of the date of the net capital computation including both brokers or dealers and consolidated subsidiaries' debits	$ 3,087,639	3870
23. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note (A)	$ 3,087,639	3880
24. Net capital requirement (greater of line 22 or 23)	$ 3,087,639	3760
25. Excess net capital (line 10 less line 24)	$ 267,596,705	3910
26. Percentage of Net Capital to Aggregate Debits (line 10 divided by line 18 page 8)	193%	3851
27. Percentage of Net Capital, after anticipated capital withdrawals, to Aggregate Debits (line 10 less item 4880 page 11 divided by line 18 page 8)	193%	3854
28. Net capital in excess of the greater of: A. 5% of combined aggregate debit items or 110% of minimum Net Capital Requirement	$ 263,686,177	3920

OTHER RATIOS

Part C

29. Percentage of debt to debt-equity total computed in accordance with Rule 15c3-1(d)	0.00 %	3860
30. Options deductions/Net Capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6),(a)(7) and (c)(2)(x) divided by Net Capital	0.00 %	3852

NOTES:

(A) The minimum net capital requirement should be computed by adding the minimum dollar net capital requirement of the reporting broker dealer and, for each subsidiary to be consolidated, the greater of:

1. Minimum dollar net capital requirement, or
2. 6-2/3% of aggregate indebtedness or 2% of aggregate debits if alternative method is used.

(B) Do not deduct the value of securities borrowed under subordination agreements or secured demand notes covered by subordination agreements not in satisfactory form and the market values of memberships in exchanges contributed for use of company (contra to item 1740) and partners' securities which were included in non-allowable assets.

(C) For reports filed pursuant to paragraph (d) of Rule 17a-5, respondent should provide a list of material non-allowable assets.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

FORMULA FOR DETERMINATION OF CUSTOMER ACCOUNT RESERVE REQUIREMENTS FOR BROKER AND DEALERS UNDER RULE 15c3-3

(See Rule 15c3-3, Exhibit A and Related Notes)

CREDIT BALANCES					
1.	Free credit balances and other credit balances in customers' security accounts (See Note A))	$ 116,865,820	4340		
2.	Monies borrowed collateralized by securities carried for the accounts of customers (See Note B)	0	4350		
3.	Monies payable against customers' securities loaned (See Note C)	110,015	4360		
4.	Customers' securities failed to receive (See Note D)	51,515,821	4370		
5.	Credit balances in firm accounts which are attributable to principal sales to customers	10,989,863	4380		
6.	Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	0	4390		
7.	**Market value of short security count differences over 30 calendar days old	0	4400		
8.	**Market value of short securities and credits (not to be offset by long or by debits) in all suspense accounts over 30 calendar days	3,010,617	4410		
9.	Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	0	4420		
10.	Other (List)	0	4425		
11.	TOTAL CREDITS			$ 182,492,136	4430
DEBIT BALANCES					
12.	**Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (See Note E)	$ 127,785,238	4440		
13.	Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver	415,195	4450		
14.	Failed to deliver of customers' securities not older than 30 calendar days	11,762,917	4460		
15.	Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (See Note F)	0	4465		
16.	Margin required and on deposit with a clearing agency registered with the commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the	0	4467		
	Commodity Exchange Act(7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in customer accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (See Note G)	0	4469		
17.	Other (List)				
18.	**Aggregate debit items			$ 139,963,350	4470
19.	**less 3% (for alternative method only -- see Rule 15c3-1(f)(5)(i))			(4,198,901)	4471
20.	**TOTAL 15c3-3 DEBITS			$ 135,764,449	4472
RESERVE COMPUTATION					
21.	Excess of total debits over total credits (line 19 less line 11)			$ 0	4480
22.	Excess of total credits over total debits (line 11 less line 19)			46,727,687	4490
23.	If computation is made monthly as permited, enter 105% of excess credits over total debits			0	4500
24.	Amount held on deposit in "Reserve Bank Account(s)", including 0.00 [4505] value of qualified securities, at end of reporting period			135,107,501	4510
25.	Amount of deposit (or withdrawal) including $0 [4515] value of qualified securities			8,248	4520
26.	New amount in reserve Bank Account(s) after adding deposit or subtracting withdrawal including $0 [4525] value of qualified securities			$ 135,115,749	4530
27.	Date of deposit (MMDDYY)			11/04/2019	4540

FREQUENCY OF COMPUTATION

28. Daily [4332] Weekly X [4333] Monthly [4334]

**In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (f) of Rule 15c3-1.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER:	CANTOR FITZGERALD & CO.	as of: 10/31/2019

COMPUTATION FOR DETERMINATION OF RESERVE REQUIREMENTS FOR BROKER-DEALERS UNDER RULE 15c3-3(continued)

EXEMPTIVE PROVISIONS

28. If an exemption from Rule 15c3-3 is claimed, identify below the section upon which such exemption is based (check one only)

A. (k)(1)-$2,500 capital category as per Rule 15c3-1		No	4550
B. (k)(2)(A)-"Special Account for the Exclusive Benefit of customers" maintained		No	4560
C. (k)(2)(B)-All customer transactions cleared through another broker-dealer on a fully disclosed basis. Name of clearing firm	4335	No	4570
D. (k)(3)-Exempted by order of the Commission		No	4580

Information for Possession or Control Requirements Under Rule 15c3-3

State the market valuation and the number of items of:

1. Customers' fully paid securities and excess margin securities not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date) but for which the required action was not taken by respondent within the time frames specified under Rule 15c3-3. Notes A and B	0	4586
A. Number of items	0	4587
2. Customers' fully paid securities and excess margin securities for which instructions to reduce to possession or control had not been issued as of the report date, excluding items arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3. Notes B,C and D	0	4588
A. Number of items	0	4589

3. The system and procedures utilized in complying with the requirement to maintain physical possession or control of customers' fully paid and excess margin securities have been tested and are functioning in a manner adequate to fulfill the requirements of Rule 15c3-3. Yes X [4584] No [4585]

NOTES

A. - Do not include in item one customers' fully paid and excess margin securities required by Rule 15c3-3 to be in possession or control but for which no action was required by the respondent as of the report date or required action was taken by respondent within the time frames specified under Rule 15c3-3.

B - State separately in response to items one and two whether the securities reported in response thereto were subsequently reduced to possession or control by the respondent.

C. - Be sure to include in item two only items not arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3.

D - Item two must be responded to only with report which is filed as of the date selected for the broker's or dealer's annual audit of financial statements, whether or not such date is the end of a calendar quarter. The response to item two should be filed within 60 calendar days after such date, rather than with the remainder of this report. This information may be required on a more frequent basis by the Commission or the designated examining authority in accordance with Rule 17a-5(a)(2)(iv).

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER:	CANTOR FITZGERALD & CO.	**as of:**	10/31/2019

FORMULA FOR DETERMINATION OF PAB ACCOUNT RESERVE REQUIREMENTS FOR BROKER AND DEALERS UNDER RULE 15c3-3

CREDIT BALANCES					
1.	Free credit balances and other credit balances in PAB security accounts (See Note A)	$ 6,989,180	2110		
2.	Monies borrowed collateralized by securities carried for accounts of PAB (See Note B)	0	2120		
3.	Monies payable against PAB securities loaned (See Note C)	0	2130		
4.	PAB securities failed to receive (See Note D)	66,620	2140		
5.	Credit balances in firm accounts which are attributable to principal sales to PAB	0	2150		
6.	Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	0	2152		
7.	** Market value of short security count differences over 30 calendar days old	0	2154		
8.	** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	0	2156		
9.	Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	0	2158		
10.	Other (List)	0	2160		
11.	TOTAL PAB CREDITS			$ 7,055,800	2170
DEBIT BALANCES					
12.	Debit balances in PAB cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (See Note E)	$ 0	2180		
13.	Securities borrowed to effectuate short sales by PAB and securities borrowed to make delivery on PAB securities failed to deliver	0	2190		
14.	Failed to deliver of PAB securities not older than 30 calendar days	0	2200		
15.	Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in PAB accounts (See Note F)	0	2210		
16.	Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C.7a-1) related to the following types of positions written, purchased or sold in PAB accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securites account pursuant to an SRO portfolio margining rule (See Note G)	0	2215		
17.	Other (List)	0	2220		
18.	TOTAL PAB DEBITS			$ 0	2230
RESERVE COMPUTATION					
19.	Excess of total PAB debits over total PAB credits (line 18 less line 11)			$ 0	2240
20.	Excess of total PAB credits over total PAB debits (line 11 less line 18)			7,055,800	2250
21.	Excess debits in customer reserve formula computation			0	2260
22.	PAB Reserve Requirement (line 20 less line 21)			7,055,800	2270
23.	Amount held on deposit in "Reserve Bank Account(s)", including $10,261,245 [2275] value of qualified securities, at end of reporting period			10,261,245	2280
24.	Amount of deposit (or withdrawal) including $1,004,011 [2285] value of qualified securities			1,004,011	2290
25.	New amount in Reserve Bank Account(s) after adding deposit or subtracting $11,265,256 [2295] value of qualified securities			$ 11,265,256	2300
26.	Date of deposit (MMDDYY)			11/04/2019	2310

FREQUENCY OF COMPUTATION

Daily ____ [2315] Weekly X [2320] Monthly ____ [2330]

* See Notes regarding the PAB Reserve Bank Account Computation (Notes 1 through 10)

** In the even the Net Cpital Requirment is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirement of paragraph (a)(1)(ii) of Rule 15c3-1

SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER:	CANTOR FITZGERALD & CO.	**as of:** 10/31/2019

COMPUTATION OF CFTC MINIMUM NET CAPITAL REQUIREMENT

A.	Risk Based Requirement						
	i. Amount of Customer Risk Maintenance Margin	$ 0	7415				
	ii. Enter 8% of line A.i			0	7425		
	iii. Amount of Non-Customer Risk Maintenance Margin	8,763,391	7435				
	iv. Enter 8% of line A.iii			701,071	7445		
	v. Enter the sum of A.ii and A.iv			701,071	7455		
B.	Minimum Dollar Amount Requirement			1,000,000	7465		
C.	Other NFA Requirement			0	7475		
D.	Minimum CFTC Net Capital Requirement. Enter the greatest of lines A.v., B. or C. (See Note)					$ 1,000,000	7490
E.	CFTC Early Warning Level					$ 1,500,000	7495

Note: If the Minimum Net Capital Requirement computed on line D (7490) is:
The Risk Based Requirement, enter 110% of line A (7455), or
The Minimum Dollar Requirement of $1,000,000, enter 150% of line B. (7465), or
The Minimum Dollar Requirement of $20,000,000 for FCMs offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED"), enter 110% of line B (7465), or
Other NFA Requirement for FCMs offering or engaging in retail forex transaction or Retail Foreign Exchange Dealers ("RFED"), as calculated on line 11.F (8210) of Exchange Supplementary Schedule, enter 110% of line 22.C. (7475), or

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PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO.		**as of:** 10/31/2019

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance				
	A. Cash			$ 0	7010
	B. Securities (at market)			0	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market			0	7030
3.	Exchange traded options				
	A. Add market value of open option contracts purchased on a contract market			0	7032
	B. Deduct market value of open option contracts granted (sold) on a contract market			0	7033
4.	Net equity (deficit) (add lines 1, 2 and 3)			0	7040
5.	Accounts liquidating to a deficit and accounts with debit balances				
	- gross amount	0	7045		
	Less: amount offset by customer securities	0	7047	0	7050
6.	Amount required to be segregated (add lines 4 and 5)			$ 0	7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts		
	A. Cash	4,969,921	7070
	B. Securities representing investments of customers' funds (at market)	0	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7090
8.	Margins on deposit with derivatives clearing organizations of contract markets		
	A. Cash	0	7100
	B. Securities representing investments of customers' funds (at market)	0	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets	0	7130
10.	Exchange traded options		
	A. Value of open long option contracts	0	7132
	B. Value of open short option contracts	0	7133
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	7140
	B. Securities representing investments of customers' funds (at market)	0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7170
12.	Segregated funds on hand (describe:)	0	7150
13.	Total amount in segregation (add lines 7 through 12)	4,969,921	7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 4,969,921	7190
15.	Management Target Amount for Excess funds in segregation	3,000,000	7194
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	1,969,921	7198

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BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1.	Amount required to be segregated in accordance with Commission regulation 32.6			$ 0	7200
2.	Funds in segregated accounts				
	A. Cash	$ 0	7210		
	B. Securities (at market)	0	7220		
	C. Total			0	7230
3.	Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.)			$ 0	7240

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 0	7305
1.	Net ledger balance - Foreign Futures and Foreign Options Trading - All Customers				
	A. Cash			$ 0	7315
	B. Securities (at market)			$ 0	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			$ 0	7325
3.	Exchange traded options				
	A. Market value of open option contracts purchased on a foreign board of trade			$ 0	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade			$ 0	7337
4.	Net equity (deficit) (add lines 1, 2, and 3)			$ 0	7345
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 0	7351		
	Less: amount offset by customer owned securities	$ 0	7352	$ 0	7354
6.	Amount to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 0	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 0	7360

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BROKER OR DEALER:	CANTOR FITZGERALD & CO.	**as of:** 10/31/2019

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States	$ 0	7500		
B. Other banks qualified under Regulation 30.7				
Name(s): _ 7510	0	7520	$ 0	7530
2. Securities				
A. In safekeeping with banks located in the United States	$ 0	7540		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _ 7550	0	7560	0	7570
3. Equities with registered futures commission merchants				
A. Cash	$ 0	7580		
B. Securities	0	7590		
C. Unrealized gain (loss) on open futures contracts	0	7600		
D. Value of long option contracts	0	7610		
E. Value of short option contracts	0	7615	0	7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _ 7630				
A. Cash	$ 0	7640		
B. Securities	0	7650		
C. Amount due to (from) clearing organization - daily variation	0	7660		
D. Value of long option contracts	0	7670		
E. Value of short option contracts	0	7675	0	7680
5. Amounts held by members of foreign boards of trade				
Name(s): _ 7690				
A. Cash	$ 0	7700		
B. Securities	0	7710		
C. Unrealized gain (loss) on open futures contracts	0	7720		
D. Value of long option contracts	0	7730		
E. Value of short option contracts	0	7735	0	7740
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _ 7750			0	7760
7. Segregated funds on hand (describe): _			0	7765
8. Total funds in separate section 30.7 accounts			$ 0	7770
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)			0	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts			0	7780
11. Excess (deficiency) funds in separate section 30.7 accounts over (under) Management Target Amount			0	7785

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PART II

BROKER OR DEALER:	CANTOR FITZGERALD & CO.	**as of:** 10/31/2019

STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1.	Net ledger balance				
	A. Cash			$ 0	8500
	B. Securities (at market)			0	8510
2.	Net unrealized profit (loss) in open cleared swaps			0	8520
3.	Cleared swaps options				
	A. Market value of open cleared swaps option contracts purchased			0	8530
	B. Market value of open cleared swaps granted (sold)			0	8540
4.	Net equity (deficit) (add lines 1, 2 and 3)			0	8550
5.	Accounts liquidating to a deficit and accounts with debit balances				
	- gross amount	$ 0	8560		
	Less: amount offset by customer securities	0	8570	0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			0	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	$ 0	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segreated accounts		
	A. Cash	0	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	0	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe:)	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	0	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 0	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	$ 0	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	$ 0	8770

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
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BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

Ownership Equity and Subordinated Liabilities maturing or proposed to be withdrawn within the next six months and accruals, (as defined below), which have not been deducted in the computation of Net Capital

Type of Proposed withdrawal or Accrual See below for code to enter	Name of Lender or Contributor	Insider or Outsider? (In or Out)	Amount to be With-drawn (cash amount and/or Net Capital Value of Securities)	(MMDDYY) Withdrawal or Maturity Date	Expect to Renew (yes or no)
4600	4601	4602	$0 4603	4604	4605
4610	4611	4612	0 4613	4614	4615
4620	4621	4622	0 4623	4624	4625
4630	4631	4632	0 4633	4634	4635
4640	4641	4642	0 4643	4644	4645
4650	4651	4652	0 4653	4654	4655
4660	4661	4662	0 4663	4664	4665
4670	4671	4672	0 4673	4674	4675
4680	4681	4682	0 4683	4684	4685
4690	4691	4692	0 4693	4694	4695
			$0 4699		

Instructions: Detail listing must include the total of items maturing during the six month period following the report date, regardless of whether or not the capital contribution is expected to be renewed. The schedule must also include proposed capital withdrawals scheduled within the six month period following the report date including the proposed redemption of stock and payments of liabilities secured by fixed assets (which are considered allowable assets in the capital computation pursuant to Rule 15c3-1(c)(2)(iv)), which could be required by the lender on demand or in less than six months.

WITHDRAWAL CODE:	DESCRIPTIONS
1.	Equity Capital
2.	Subordinated Liabilities
3.	Accruals
4.	15c3-1(c)(2)(iv) Liabilities

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER: CANTOR FITZGERALD & CO. **as of:** 10/31/2019

FINANCIAL AND OPERATIONAL DATA

		Valuation		Number	
1.	Month end total number of stock record breaks unresolved over three business days				
	A. breaks long	0	4890	0	4900
	B. breaks short	0	4910	0	4920

2. Is the firm in compliance with Rule 17a-13 regarding periodic count and verification of securities positions and locations at least once in each calendar quarter? (Check one) Yes [X] 4930 No [ ] 4940

A) If response is negative attach explanation of steps being taken to comply with Rule 17a-13

3.	Personnel employed at end of reporting period:		
	A. Income producing personnel	485	4950
	B. Non-income producing personnel (all other)	138	4960
	C. Total	623	4970
4.	Actual number of tickets executed during current month of reporting period	5,669,202	4980
5.	Number of corrected customer confirmations mailed after settlement date	0	4990

		No. of Items		Debit (Short Value)		No. of Items		Credit (Long Value)	
6.	Money differences	0	5000	0	5010	0	5020	0	5030
7.	Security suspense accounts	0	5040	0	5050	0	5060	0	5070
8.	Security difference accounts	0	5080	0	5090	0	5100	0	5110
9.	Commodity suspense accounts	0	5120	0	5130	0	5140	0	5150
10.	Open transactions with correspondents, other brokers, clearing organizations, depositories and interoffice and inter-company accounts which could result in a charge - unresolved amounts over 30 calendar days	0	5160	$ 0	5170	0	5180	0	5190
11.	Bank account reconciliations-unresolved amounts over 30 calendar days	0	5200	$ 0	5210	0	5220	0	5230
12.	Open transfers over 40 calendar days, not confirmed	0	5240	$ 0	5250	0	5260	0	5270
13.	Transactions in reorganization accounts-over 60 calendar days	0	5280	$ 0	5290	0	5300	0	5310
14.	Total	0	5320	$ 0	5330	0	5340	0	5350

		No. of Items		Ledger Amount		Market Value	
15.	Failed to deliver 11 business days or longer (21 business days or longer in the case of Municipal Securities)	85	5360	8,344,958	5361	$ 8,344,958	5362
16.	Failed to receive 11 business days or longer (21 business days or longer in the case of Municipal Securities)	53	5363	4,048,978	5364	$ 4,048,978	5365

17.	Security concentrations (See instructions in Part I):		
	A. Proprietary positions	$ 0	5370
	B. Customers' accounts under Rule 15c3-3	$ 0	5374
18.	Total of personal capital borrowings due within six months	$ 0	5378
19.	Maximum haircuts on underwriting commitments during the period	$ 0	5380
20.	Planned capital expenditures for business expansion during the next six months	$ 0	5382
21.	Liabilities of other individuals or organizations guaranteed by respondent	$ 0	5384
22.	Lease and rentals payable within one year	$ 3,220,735	5386
23.	Aggregate lease and rental commitments payable for entire term of the lease		
	A. Gross	$ 22,622,192	5388
	B. Net	$ 22,622,192	5390

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER:	CANTOR FITZGERALD & CO.	as of: 10/31/2019

EXCHANGE SUPPLEMENTARY INFORMATION

1.	Capital to be withdrawn within 6 months	$ 0	8000
2.	Subordinated debt maturing within 6 months	0	8010
3.	Subordinated debt due to mature within 6 months that you plan to renew	0	8020
4.	Additional capital requirement for excess margin on Reverse Repurchase Agreements	288,372	8045

if Adjusted Net Capital is less than $2,000,000 please complete lines 5 through 8:

5.	Number of Associated Persons	0	8100
6.	Number of Branch Offices	0	8110
7.	Number of Guaranteed Introducing Brokers	0	8120
8.	Number of Guaranteed Introducing Broker Branch Offices	0	8130

Futures Commission Merchants offering off-exchange foreign currency futures ("forex") to retail customers

9.	Is the firm a registered Futures Commission Merchant ("FCM") that offers to be or acts as a counterparty to retail foreign exchange transactions or a Retail Foreign Exchange Dealer ("RFED")?	No	8135
10.	Gross revenue from Forex transactions with retail customers	0	8140
11.	total net aggregate notional value of all open forex transactions in retail customer and non-customer (not proprietary) accounts	0	8150
12.	Total aggregate retail forex assets [Reference CFTC Regulation 5.1(b)]	0.00	8160
13.	Total amount of retail forex obligation [Reference CFTC Regulation 5.1(l)]	0.00	8170

14. Retail forex related Minimum Dollar Amount Requirement reported in Other NFA Requirement, Box 7475, Statement of Computation of the Minimum Capital Requirements, Line C.

A.	If offering to be or engaging as a counterparty in retail foreign exchange enter $20 million	0.00	8175
B.	5% of all liabilities the Forex Dealer Member ("FDM") owes to customers and eligible contract participant (ECP) counterparties that are not an affiliate of the FDM and are not acting as a dealer exceeding $10,000,000	0.00	8190
C.	10% of all liabilities the fdm owes to ecp counterparties that are an affiliate of the fdm not acting as a dealer	0.00	8195
D.	10% of all liabilities ECP counterparties that are an affiliate of the FDM and acting as a dealer owe to their customers (including ECPs), including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8200
E.	10% of all liabilities the FDM owes to ECP counterparties acting as a dealer that are not an affiliate of the FDM, including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8205
F.	Sum of 14.A. - 14.E.	0.00	8210

15.	Is the firm an IB?	No	8740
16.	The aggregate performance bond requirement for all Customer and House accounts containing CME-cleared IRS positions. (Applicable for FCMs and broker-dealers which clear CME-cleared IRS products for customer or house accounts)	$ 0	8750

General Comments:

Leverage

1. Total Assets	$28,740,480,974	8800
2. Amount required to be segregated	0	8810
3. Amount required to be set aside in separate section 30.7 accounts	0	8820
4. Amount required to be segregated for cleared swaps customers	0	8830
5. Reserve Requirement	46,727,687	8840
6. US Treasury securities - Long (firm owned)	738,851,048	8850
7. US Government agency and government sponsored entities - Long(firm owned)	4,175,699,524	8860
8. Reverse Repos backed by US Treasury securities and US Government agency and government sponsored entities(firm owned)	18,114,070,253	8870
9. Ownership Equity	481,377,869	8880
10. Subordinated Loans	205,000,000	8890
11. Leverage	8.25	8900

Depositories

During the month did the firm maintain customer segregated funds at a depository which is an affiliate?	No	8910
During the month did the firm maintain separate 30.7 funds at a depository which is an affiliate?	No	8920
During the month did the firm maintain cleared swaps customer segregated funds at a depository which is an affiliate?	No	8925

FCM's Customer Segregated Funds Residual Interest Target (choose one):

A. Minimum dollar amount: $ 3,000,000 [8930] ; or

B. Minimum percentage of customer segregated funds required: 0.00 [8940] ; or

C. Dollar amount range between: 0 [8950a] and 0 [8950b] ; or

D. Percentage range of customer segregated funds required betwee 0.00 [8960a] and 0.00 [8960b]

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

A. Minimum dollar amount: $ 0 [8970] ; or

B. Minimum percentage of customer secured funds required 0.00 [8980] ; or

C. Dollar amount range between: 0 [8990a] and 0 [8990b] ; or

D. Percentage range of customer secured funds required betwee 0.00 [9000a] and 0.00 [9000b]

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

A. Minimum dollar amount: $ 0 [9010] ; or

B. Minimum percentage of cleared swaps customer collateral required: 0.00 [9020] ; or

C. Dollar amount range between: 0 [9030] and 0 [9031] ; or

D. Percentage range of cleared swaps customer collateral required between: 0.00 [9040] and 0.00 [9041]

Eligible Contract Participants

Did the firm act as counterparty to a forex transaction with any Eligible Contract Participants (ECP)?	0	9042
If yes, indicate the number of ECPs that the firm acted as a counterparty to a forex transaction(s).	0	9043

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

STATEMENT DETAILS

BROKER OR DEALER: CANTOR FITZGERALD & CO.		**as of:** 10/31/2019

Box 4930 Description: