

SUPPLEMENT TO  
 FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
 PART II

BROKER OR DEALER  
 CANTOR FITZGERALD & CO.

as of 06/30/17

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
 FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$	<u>7010</u>
B. Securities (at market)			<u>7020</u>
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			<u>7030</u>
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market			<u>7032</u>
B. Deduct market value of open option contracts granted (sold) on a contract market	(		<u>7033</u> )
4. Net equity (deficit) (add lines 1, 2, and 3)			<u>7040</u>
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount			<u>7045</u>
Less: amount offset by customer owned securities	(		<u>7047</u> )
6. Amount required to be segregated (add lines 4 and 5)		\$	<u>7060</u>

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash			<u>4,969,921 7070</u>
B. Securities representing investments of customers' funds (at market)			<u>7080</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)			<u>7090</u>
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		\$	<u>7100</u>
B. Securities representing investments of customers' funds (at market)			<u>7110</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)			<u>7120</u>
9. Net settlement from (to) derivatives clearing organizations of contract markets			<u>7130</u>
10. Exchange traded options			
A. Value of open long option contracts			<u>7132</u>
B. Value of open short option contracts	(		<u>7133</u> )
11. Net equities with other FCMs			
A. Net liquidating equity			<u>7140</u>
B. Securities representing investments of customers' funds (at market)			<u>7160</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)			<u>7170</u>
12. Segregated funds on hand (describe: _____)			<u>7150</u>
13. Total amount in segregation (add lines 7 through 12)			<u>4,969,921 7180</u>
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$	<u>4,969,921 7190</u>
15. Management Target Amount for Excess funds in segregation		\$	<u>3,000,000 7194</u>
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$	<u>1,969,921 7198</u>

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 Status: Accepted

BROKER OR DEALER CANTOR FITZGERALD & CO.	as of 06/30/17
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STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
 PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$		7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$		7315
B. Securities (at market)			7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade			7335
B. Market value of open contracts granted (sold) on a foreign board of trade			7337
4. Net equity (deficit) (add lines 1, 2, and 3.)	\$		7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	7351	
Less: amount offset by customer owned securities		( 7352 )	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$		7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$		7360

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STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
 PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States	\$		7500	
B. Other banks qualified under Regulation 30.7				
Name(s):			7520	7530
2. Securities				
A. In safekeeping with banks located in the United States	\$		7540	
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s):			7550	7570
3. Equities with registered futures commission merchants				
A. Cash	\$		7580	
B. Securities			7590	
C. Unrealized gain (loss) on open futures contracts			7600	
D. Value of long option contracts			7610	
E. Value of short option contracts		(	7615	7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s):			7630	
A. Cash	\$		7640	
B. Securities			7650	
C. Amount due to (from) clearing organizations - daily variation			7660	
D. Value of long option contracts			7670	
E. Value of short option contracts		(	7675	7680
5. Amounts held by members of foreign boards of trade				
Name(s):			7690	
A. Cash	\$		7700	
B. Securities			7710	
C. Unrealized gain (loss) on open futures contracts			7720	
D. Value of long option contracts			7730	
E. Value of short option contracts		(	7735	7740
6. Amounts with other depositories designated by a foreign board of trade				
Name(s):			7750	7760
7. Segregated funds on hand (describe: _____ )				
				7765
8. Total funds in separate section 30.7 accounts				7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)				7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts				7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target				7785

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as of 06/30/17

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
 FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance		\$		8500
A. Cash				8510
B. Securities (at market)				8520
2. Net unrealized profit (loss) in open cleared swaps				8530
3. Cleared swaps options				8540
A. Market value of open cleared swaps option contracts purchased				8550
B. Market value of open cleared swaps option contracts granted (sold)			(	8560
4. Net equity (deficit) (add lines 1, 2, and 3)		\$		8570
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$		8580
Less: amount offset by customer owned securities			(	8590
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$		

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks		\$		8600
A. Cash				8610
B. Securities representing investments of cleared swaps customers' funds (at market)				8620
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				8630
A. Cash				8640
B. Securities representing investments of cleared swaps customers' funds (at market)				8650
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8660
9. Net settlement from (to) derivatives clearing organizations				
10. Cleared swaps options				8670
A. Value of open cleared swaps long option contracts				8680
B. Value of open cleared swaps short option contracts			(	
11. Net equities with other FCMs				8690
A. Net liquidating equity				8700
B. Securities representing investments of cleared swaps customers' funds (at market)				8710
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8715
12. Cleared swaps customer funds on hand (describe: _____)				8720
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$		8730
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$		8760
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$		8770
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$		