CANTOR FITZGERALD AND CO

SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS

PURSUANT TO COMMISSION REGULATION 30.7

PURSUANT TO COMMISSION REGULATION 30.7		1												1 0.0048			
	J	anuary 4,	2016	J	anuary 5	, 2016	Ja	anuary 6, 2	2016	Jan	uary 7, 20	016	Jan	uary 8, 20)16		
FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS																	
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory		Ś			Ś			Ś			Ś			ć			
organization authorized thereunder		Þ			Ş	•		Þ	~		Þ			Þ			
Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers																	
A. Cash			82					¢	020		c	20		•	-		
B. Securities (at market)			-					4	201			-			-		
Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		ૄ	8		,			\$				50		č			
Exchange traded options		•						*									
A. Market value of open option contracts purchased on a foreign board of trade					8			\$			\$			c			
B. Market value of open contracts granted (sold) on a foreign board of trade								\$	007		Ś	20		\$	-		
4. Net equity (deficit) (add lines 1, 2, and 3.)		5	-					\$			Ś	-		5	2		
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	4	_ 8		5			Ś			4			\$	(8)			
Less: amount offset by customer owned securities	5	. 5	92	Ś	- 5	(2)	Ś	. ś		s	* \$		\$	- 5			
6. Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5)		\$		03	Ś		-	Ś		-	Ś			Ś	-		
		_		- 1	=		•					_		_			
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.		\$	_		¢	_		¢			\$	_		\$	_		
The second secon			_	•	-		•	_			-						
FUNDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS																	
1, Cash in banks																	
A. Banks located in the United States	5			Ś			\$	21		5			S				
B. Other banks qualified under Regulation 30.7	Ś	- \$	_	Ś	- Ś	-	Ś	- \$	_	Ś	- \$	_	Ś	- Ś			
2. Securities													-				
A. In safekeeping with banks located in the United States	5			\$			5	**		s	+:		S	8			
B. In safekeeping with other banks qualified under Regulation 30,7	\$	- \$	-	\$	- \$	-	\$	- \$	_	\$	- \$	-	\$	- \$	-		
3. Equities with registered futures commission merchants				-													
A. Cash	5	58		\$	1965		5	80		\$			5				
B. Securities	s	127.		\$	020		5	22		5	2		s	0			
C. Unrealized gain (loss) on open futures contracts	\$	25		\$			5	*		5			\$				
D. Value of long option contracts	\$			\$	266		\$	*		\$			s	œ.			
E, Value on short option contracts	5	. \$	12	\$	- \$	=	\$	- \$	2	\$	\$	12/	5	- \$			
4. Amounts held by clearing organizations of foreign boards of trade																	
A. Cash	\$	4		\$	392		\$	£		\$			5	i.			
B. Securitles	\$			\$	3		\$	-		\$			5				
C. Amount due to (from) clearing organizations - daily variation	\$			\$	95		\$	*		\$	*		\$				
D _e Value of long option contracts	\$	(A)		\$	•		\$	÷:		\$	-		\$	2			
E, Value on short option contracts	\$	<u>-</u> \$		\$	\$	-	\$	\$	5	\$	- \$	5.	\$	- \$	•		
5. Amounts held by members of foreign boards of trade				1.5													
A. Cash	\$	727		\$	-		\$	2		\$	-		\$	ů.			
B. Securities	\$	250		\$	-		\$	<u>#</u> S		\$	-		\$	95			
C. Unrealized gain (loss) on open futures contracts	5	(%)		\$	-		\$	ž.		\$	-		\$	(#			
D. Value of long option contracts	\$			\$	-		\$			\$	-		\$				
E. Value on short option contracts	\$	\$	S.	\$	\$	*	\$	\$	*	\$	\$	8.	\$	<u> </u>	-		
6. Amounts with other depositories designated by a foreign board of trade		\$			\$	*		\$	3		\$	•		\$	-		
7. Segregated funds on hand		\$	-	-	_\$	-	_	\$			\$			\$	-		
8. Total Funds in separate section 30.7 accounts		\$		=	<u>\$</u>		=	\$			\$			\$			
9 Excess (deficiency) set Aside Funds for Secured Amount		\$			<u>_\$</u>	-	=	<u>\$</u>			\$			5	•		
10. Management Targer Amount for Excess funds in separate section 30,7 acccounts		\$			_\$	-	=	\$			\$	-		\$	•		
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		\$:_	=	<u>\$</u>	-	=	\$			\$			\$	7.E.S		

CANTOR FITZGERALD AND CO SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

PI	JRSUANT TO COMMISSION REGULATION 30.7		January 11, 2016		J	January 12, 2016			January 13, 2016			January 14, 2016			January 15, 2016		
FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS																	
	nount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory ganization authorized thereunder	r	\$	(*):		ç	141		\$	•		\$	¥		\$	*	
2.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers A. Cash B. Securities (at market) Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ \$ \$	æ 55 ⊛		\$ \$ \$	3 7 9		\$ \$ \$	81 51 80		s s s	(%) (%)		s s	*: *: *:	
4.			\$ \$ \$			\$ \$ \$:* ::		\$ \$ \$:6 :x: :u:		\$ \$ \$	1.50 134 134		\$ \$ \$	## ## ##	
5. 6.	Less: amount offset by customer owned securities Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5)	<u>s</u>	- \$ \$	*	\$ =	- \$	je -	\$ =	- \$ - \$		\$	- \$ - \$	(8)	\$	\$		
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.		\$			_\$		5	\$			\$	-	í,	\$	<u> </u>	
	INDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS Cash In banks																
	A., Banks located in the United States B. Other banks qualified under Regulation 30.7	\$	- \$	-	\$	(4)	.	\$ \$	- <u>.</u> \$	-	\$	* \$	-	\$	\$	ŭ	
	Securities A. In safekeeping with banks located in the United States B. In safekeeping with other banks qualified under Regulation 30.7 Equities with registered futures commission merchants	\$	\$	-	\$	(9) (; -	\$	\$	-	\$	* \$	-	\$ \$	\$	i.	
3.	A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts	\$ \$	3 33		s s	2 2 2		\$ \$ \$	2.60 (40) 383		\$ \$ \$	*: *: *:		\$ \$ \$	* * *		
4,	D. Value of long option contracts E. Value on short option contracts Amounts held by clearing organizations of foreign boards of trade A. Cash	\$ \$ \$	\$	•	\$		-	\$ \$	\$	2	\$			\$ _\$ \$	<u> </u>	72	
	B. Securities C. Amount due to (from) clearing organizations - daily variation D. Value of long option contracts E. Value on short option contracts	\$ \$ \$	** ** ** **	353	\$ \$ \$	27/ 287 287	*	\$ \$ \$	\$		\$ \$ \$ \$: :: :: :: :: :: :: :: :: :: :: :: :: :		\$ \$ \$	- - - \$	5	
5.	Amounts held by members of foreign boards of trade A. Cash B. Securities C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts E. Value on short option contracts	\$ \$ \$	- - - - - - -		\$ \$ \$			s s s	** ** ** **		\$ \$ \$	≥ ± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ±		\$ \$ \$	-		
6.		-	\$		3			3		9	<u> </u>	- \$	3	->	\$ \$	3)	
8. 9. 10	•		\$ \$ \$ \$	-	- = = =		-	= = =	\$ \$ \$ \$	-	:	\$ \$ \$ \$: :	\$ \$ \$ \$	- - - -	

CANTOR FITZGERALD AND CO

SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS

PURSUANT TO COMMISSION REGULATION 30.7

PURSUANT TO COMMISSION REGULATION 30.7					
	January 18, 2016	January 19, 2016	January 20, 2016	January 21, 2016	January 22, 2016
FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS					
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory					
organization authorized thereunder	\$ 🐼	\$ =	\$ =	\$ =	\$ *
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers					4
A, Cash	\$ -	\$ =	\$	\$ ~	\$ *
B, Securities (at market)	s -	\$ 18	\$ -	\$ 6	\$ 10
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ ·	\$ 3	\$	\$	\$ €
3. Exchange traded options	1124		12	a.	
A. Market value of open option contracts purchased on a foreign board of trade	\$ -	\$ ==	\$	\$	\$ 1
B, Market value of open contracts granted (sold) on a foreign board of trade	\$.	\$ *	\$ -	\$ -	\$ #
 Net equity (deficit) (add lines 1, 2. and 3.) Accounts liquidating to a deficit and accounts with debit balances - gross amount 		\$ 14	,	\$.	\$ =
	\$.	\$	\$ 100	\$ 8 A	
Less: amount offset by customer owned securities	3 - 3 -	\$ 2 5 2	\$ \$ \$	\$ • \$	3 - 5 -
6. Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5)	5 -	\$ -	\$ -	\$ -	3
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$ -	\$ -	\$ -	\$ -	\$ -
FUNDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS					
1. Cash in banks	ä.	9	a	£ .	
A. Banks located in the United States B. Other banks qualified under Regulation 30.7		\$ - \$ -	\$ - \$ -	s - \$ -	
Securities 6. Other banks qualified under Regulation 30,7 2. Securities	3 - 3 -	3 - 3 -	\$ -	3 - 3 -	3 . 3 .
A. In safekeeping with banks located in the United States		2	à	. 2	
B. In safekeeping with other banks qualified under Regulation 30.7	\$ - \$ -	s - \$ -	\$ - \$ -	s - \$ -	
Equities with registered futures commission merchants	3 . , .	· · ·	3 - 3 -	3	 ,
A. Cash A. Cash		\$	2	à c	t e
B. Securities	s -	\$	\$ -	s -	\$ 2
C. Unrealized gain (loss) on open futures contracts	\$ -	,	,	? .	÷
D. Value of long option contracts	\$ =	9 85	•	\$ -	\$ 8
E. Value on short option contracts	s - \$ =	s - \$	\$ - \$ -	\$ - \$ -	\$ - \$ 2
Amounts held by clearing organizations of foreign boards of trade	3 - 3	3 - 3	- + -	3 - 3	19
A. Cash	s =	S	\$.	\$.	¢ =
B, Securities	s -			\$ -	4 2
C. Amount due to (from) clearing organizations - daily variation	5	\$ -	\$	Ś	\$ 8
D. Value of long option contracts	6 2	3 3		4	\$ W
E, Value on short option contracts	s - \$	s - \$ -	\$ - \$ -	\$ - \$ -	\$ \$
5. Amounts held by members of foreign boards of trade			<u> </u>	· ·	,
A. Cash	s -	s ©	s -	\$.	\$ -
B. Securities	5	\$ -	\$ -	s -	\$ -
C. Unrealized gain (loss) on open futures contracts	Š ·	\$	\$	\$ -	\$ -
D. Value of long option contracts	s -	\$ -	\$.	\$.	\$
E. Value on short option contracts	\$ - \$ **	\$ - \$ -	š - Š -	\$ - \$ -	\$ - \$ -
	<u> </u>	<u> </u>		<u> </u>	
6. Amounts with other depositories designated by a foreign board of trade	\$ •	\$ -	\$ -	\$ =	\$ -
	4	A			
7. Segregated funds on hand	\$ -	\$ -	<u>\$ -</u>	\$ -	\$ -
8 Total Funds in separate section 30.7 accounts	\$ -	\$ -	\$ -	\$ -	\$ -
9. Excess (deficiency) set Aside Funds for Secured Amount	<u>\$ -</u>	\$ -	\$ -	\$ -	\$ -
10. Management Targer Amount for Excess funds in separate section 30.7 acccounts	\$ -	<u>\$ -</u>	\$ -	<u>\$ -</u>	\$ -
11. Excess (deficiency) funds in separate 30,7 accounts over (under) Management Target	\$ -	\$ -	\$ -	\$ -	\$ -

CANTOR FITZGERALD AND CO SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

PURSUANT TO COMMISSION REGULATION 30.7 FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS		2016	January	26, 2016	January 2	7 2016	January 28, 20	16 .la	January 29, 2016		
		2010	January	20, 2010	January 2	7, 2010	January 20, 20	10 00			
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory											
organization authorized thereunder	\$	2		\$ %	9	S=81	\$	ė.	\$	<u>.</u>	
 Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers 											
A. Cash	\$	160		\$ -	\$	*	\$	8	5		
B. Securities (at market)	\$	1.65		\$ **	\$		s	12	5) 5	
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$	1.63		\$ *:	\$	*	\$	\simeq	\$	(4)	
3 _m Exchange traded options											
A. Market value of open option contracts purchased on a foreign board of trade	\$	100		\$ *	\$	*	\$	≓	\$	2	
B. Market value of open contracts granted (sold) on a foreign board of trade	\$	(4)		\$ *	\$	*	s	⊛	\$		
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$			\$	\$		\$	3	\$	91	
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 😁		\$ 👻		\$ -		\$ -	\$	æ "		
	\$ - \$	F	\$ -	\$.	5 - 5		\$ - \$	<u> </u>	- \$	-	
6. Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5)	\$		16	\$ -			\$		\$		
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$		7	\$ -			\$	_	\$		
FUNDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS											
1. Cash in banks			2		ω.		2	60.0			
A. Banks located in the United States	\$ *		s -		\$:* \$ = !		s - s	\$			
B. Other banks qualified under Regulation 30.7	\$ - \$	-	\$ -	\$ -		> -	5 - 5	- 3	<u> </u>	•	
2. Securities			ž .				. ~ .				
A. In safekeeping with banks located in the United States	\$ * \$ - \$		Š -	ė vi	\$ - :		s s	,	ć	24	
B. In safekeeping with other banks qualified under Regulation 30,7	\$ - \$		\$ -	۶ -	\$ -	,	5 - 5	. 5	- >	•	
Equities with registered futures commission merchants A. Cash	¢ #		2				t (22)				
A. Casn B. Securities	\$ **				\$ -		\$ % \$ @	,			
C. Unrealized gain (loss) on open futures contracts	÷ 5		2		÷ -		÷ (%)	•			
C. Onrealized gain (loss) on open rutores contracts D. Value of long option contracts	÷ :		* ·		ş -		P (%)		51		
	\$ - \$	50	2	\$ 3	\$ -	6 92	\$ - \$	- 5		2	
Amounts held by clearing organizations of foreign boards of trade	* . *	- 22	-	. 7	-	7		3			
	s -				\$ =		Ś 🐷	ė	2		
	\$ -				\$		\$ -	\$	7		
C. Amount due to (from) clearing organizations - daily variation	\$.				₹ == 6 ==		\$ 300	4	- PC		
	\$ -		Ş ;		s a		\$ 100	\$	20		
	\$ - \$		š .	\$	\$ -	\$	\$ - \$	- \$	- \$		
5. Amounts held by members of foreign boards of trade	*		-	. * ::::::	-	1.50	 -	\ <u> </u>			
	\$ -		s .		\$ -		\$.	Ś	27		
	\$.		s -		\$ -		\$.	Ś	*		
	s -		5		\$ -		5	\$	*		
	\$ -		s -		\$ -		5 -	s	-		
	\$ - \$	28	\$ -	\$ -	\$ -	\$::::	\$ - \$	* \$. \$	×	
				- 55				-			
6. Amounts with other depositories designated by a foreign board of trade	\$	15		\$		\$ -	\$	Ē	\$	3	
7. Segregated funds on hand	\$	_		Ś-		\$ -	Ś	-	\$	-	
8. Total Funds in separate section 30.7 accounts	4			\$ -	-	\$ -	Ś	_	\$		
Excess (deficiency) set Aside Funds for Secured Amount	-			Ś -		s -	Ś	_	Ś	_	
10. Management Targer Amount for Excess funds in separate section 30.7 accounts	-			\$ -		<u> </u>	<u> </u>		\$		
Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	-			\$	=	\$ -	-		<u> </u>		
22. Ecoso (actividity) inino in separate 2017 accounts over (unital) Management Talget				ψ <u>-</u>	-	-	3				